

Kuliah GRC ke-2



Konsep GRC

- Penggerak Bisnis
- Persyaratan GRC
- Penselarasan Komponen GRC
- Pendekatan Strategis
- Pendekatan Resiko



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PADOSENJALANJALAN

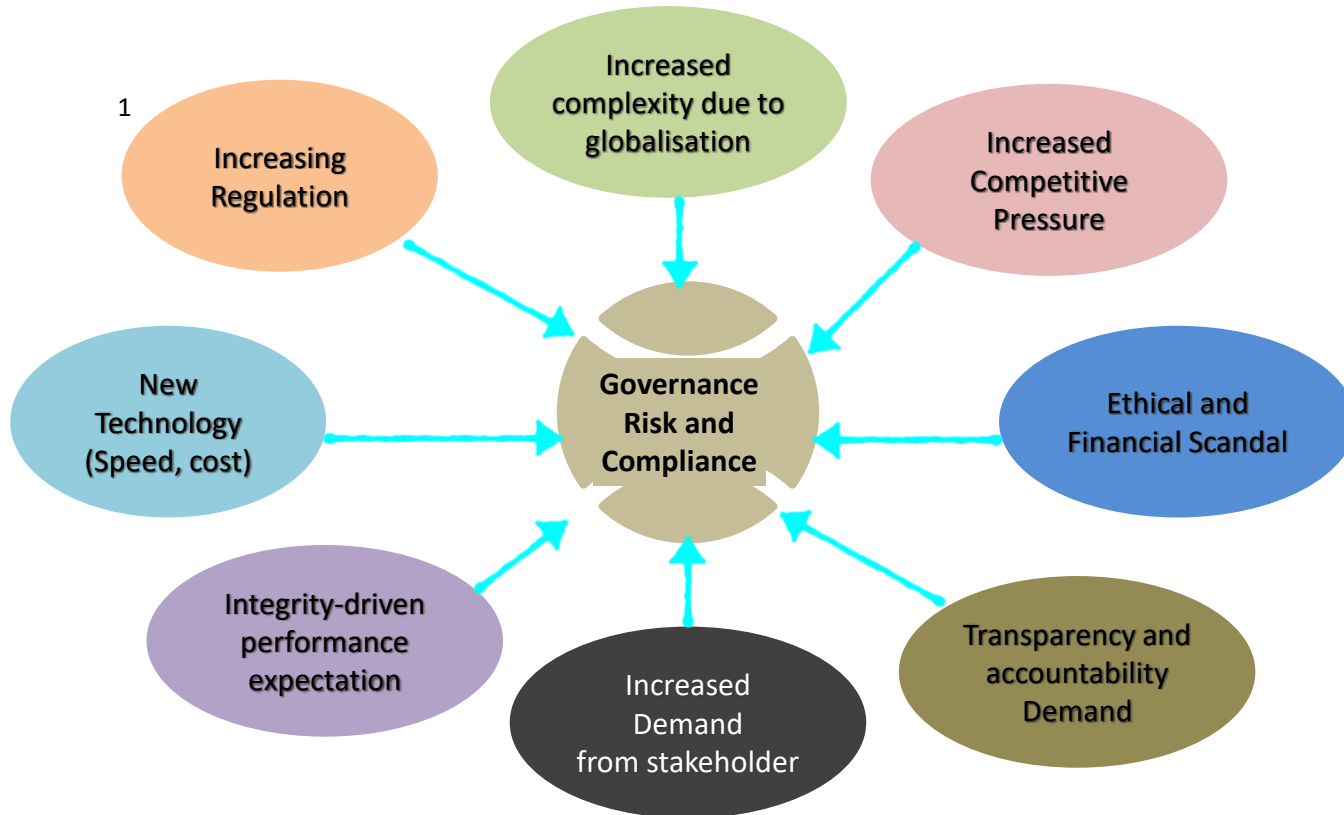


Bersama

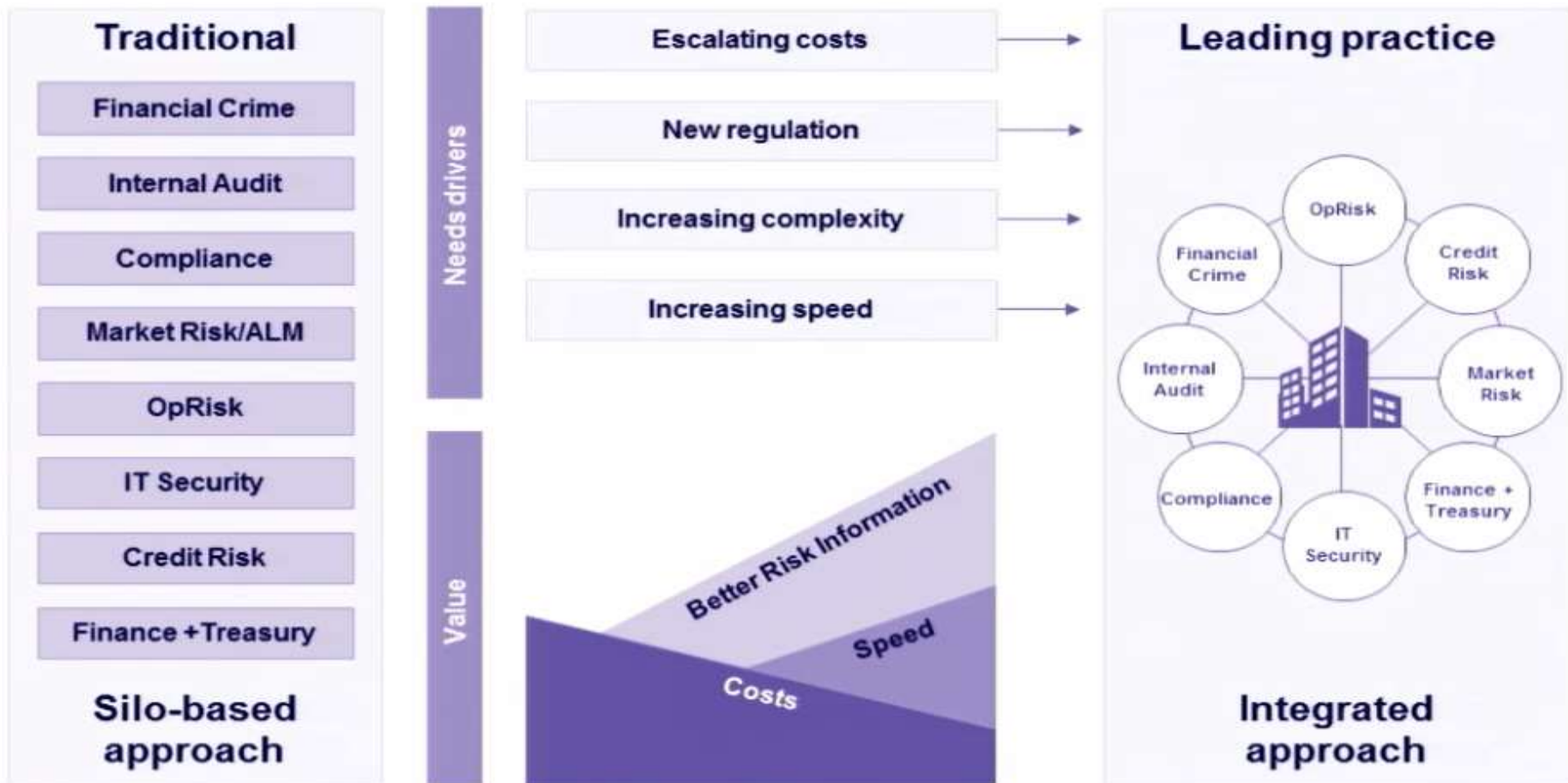
Dr. Yeffry Handoko Putra

Apa yang mendorong Muncul konsep
GRC?

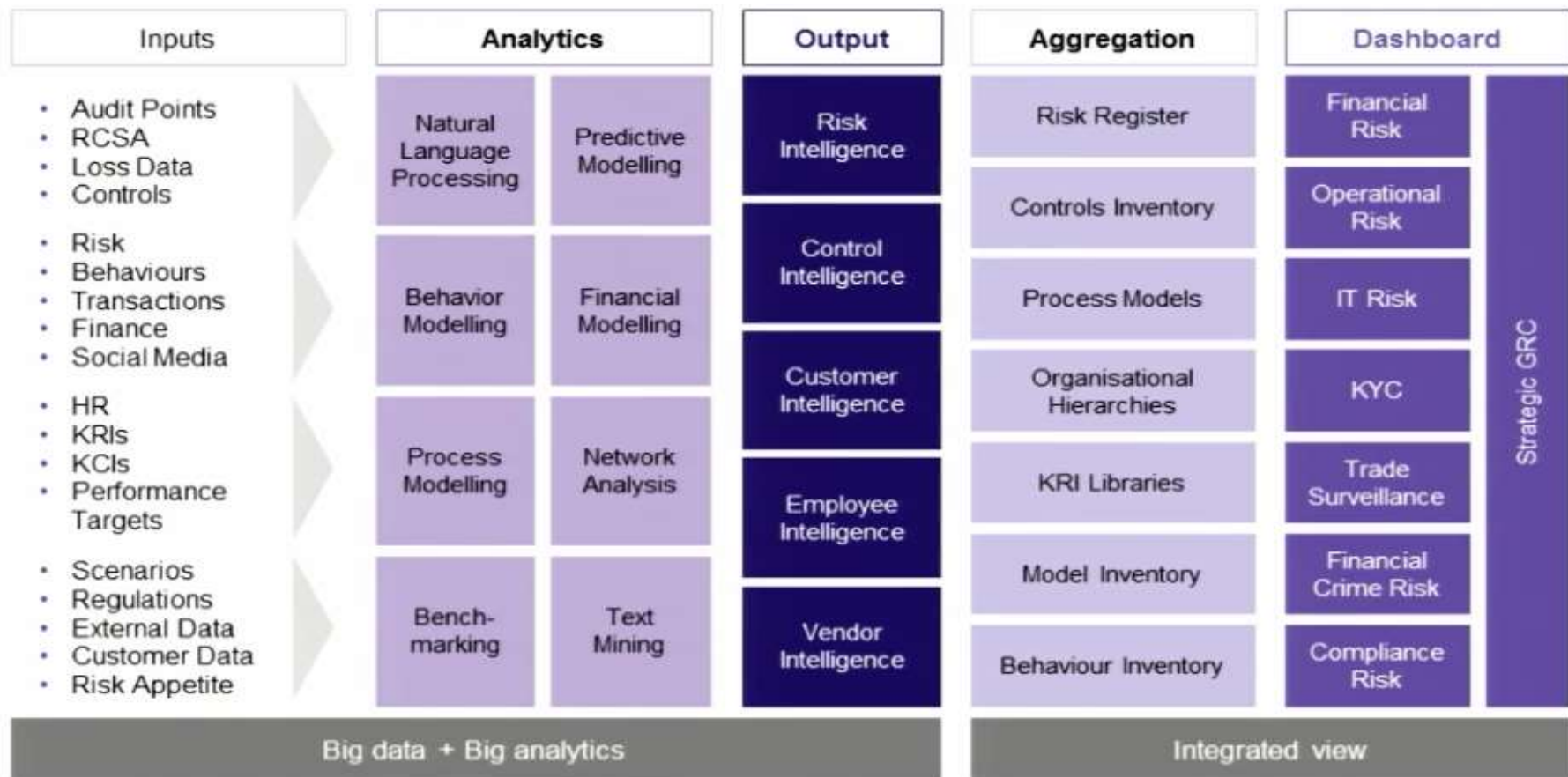
Business drivers for an integrated approach to Governance, Risk and Compliance



Creating value through improved risk management



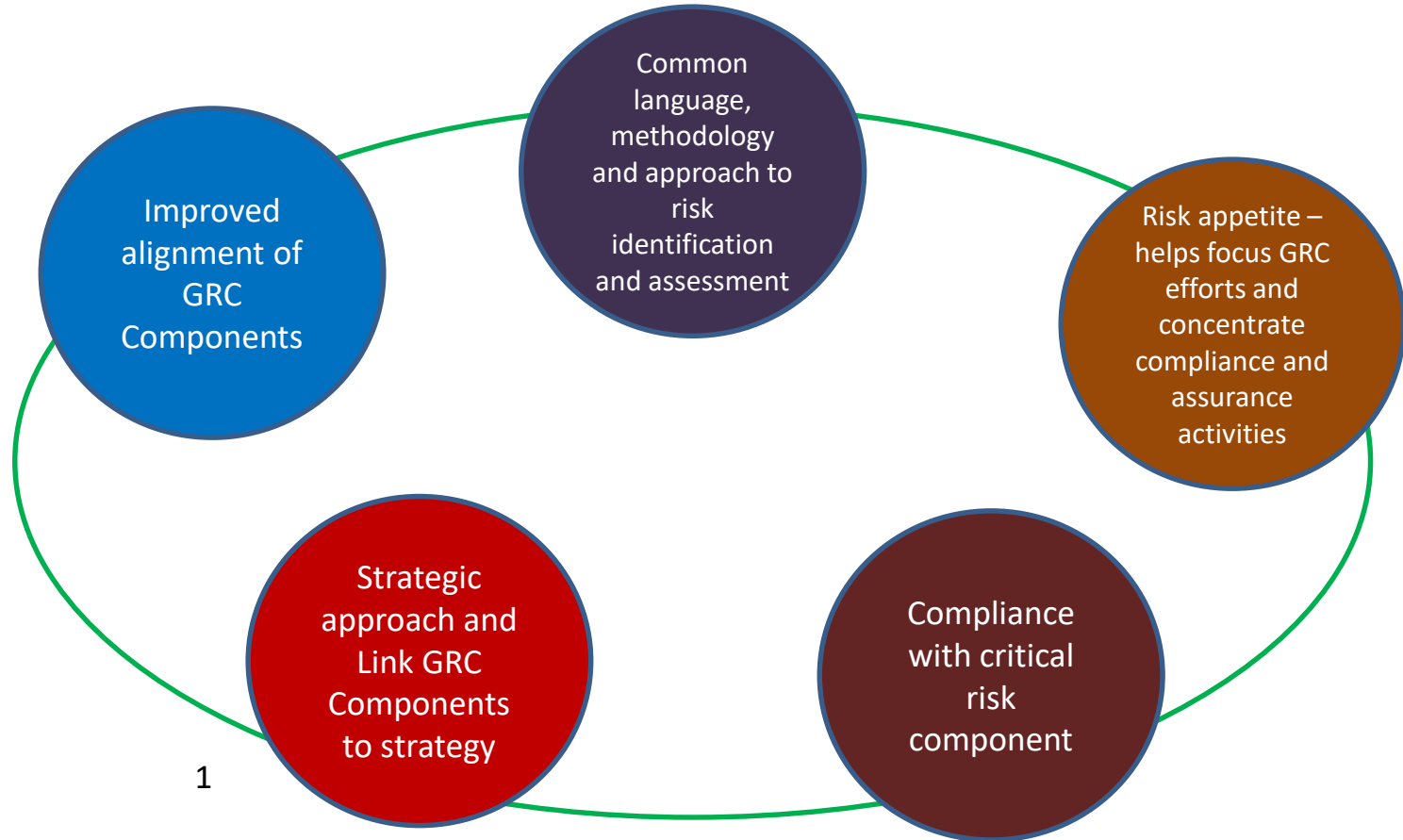
Next Generation GRC



Future Outlook

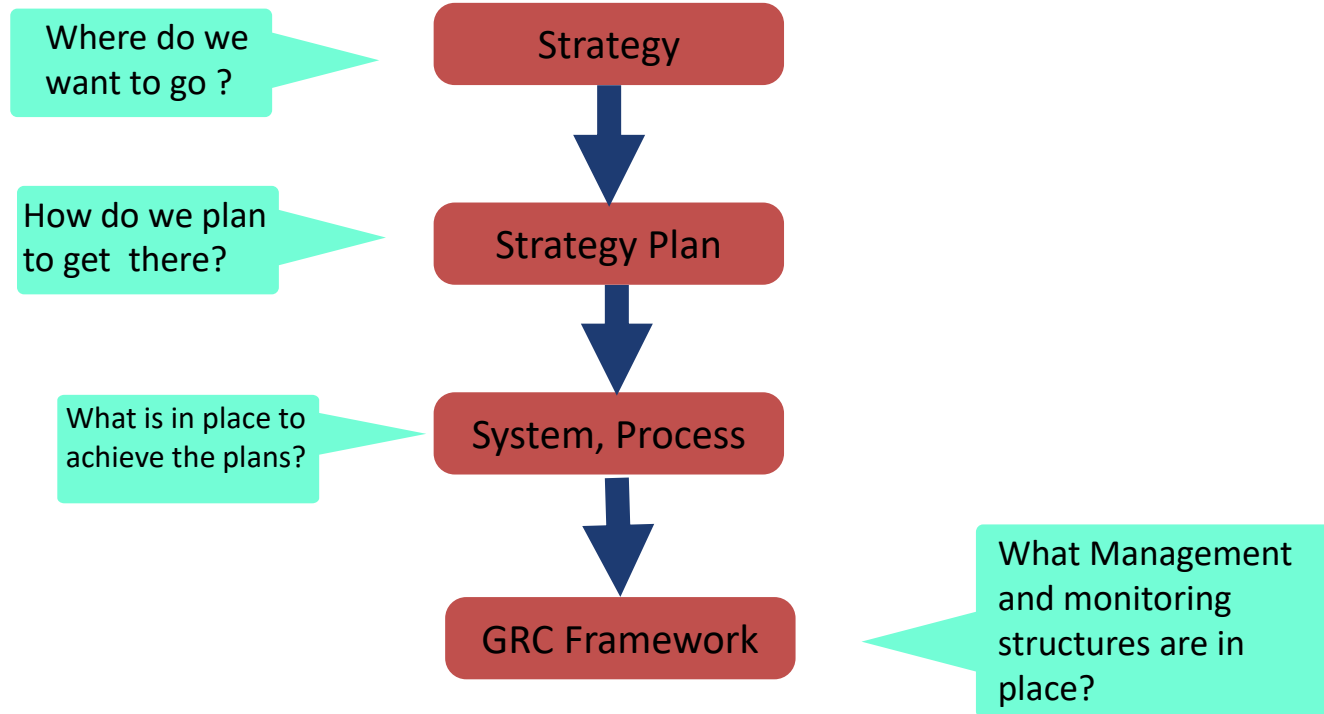
- Forward looking not backward looking
- Not only process oriented also behaviour oriented
- Risk Management, not control management
- Strategic support not tactical repair

Integrating Requirement of GRC

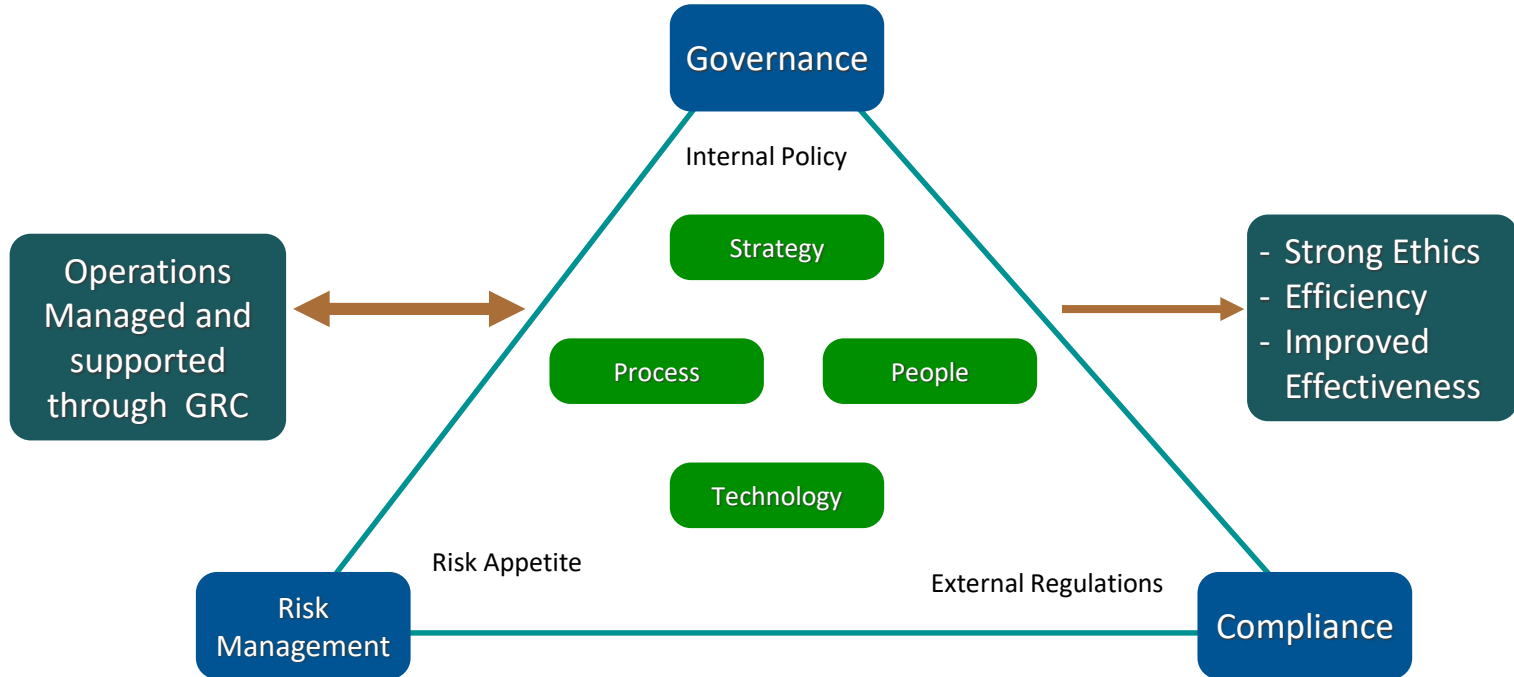


Pendekatan Strategi, link strategi dan GRC, Pendekatan Resiko

Strategic Approach and link GRC to Strategy



Alignment of GRC Component



Common language in Governance Concept

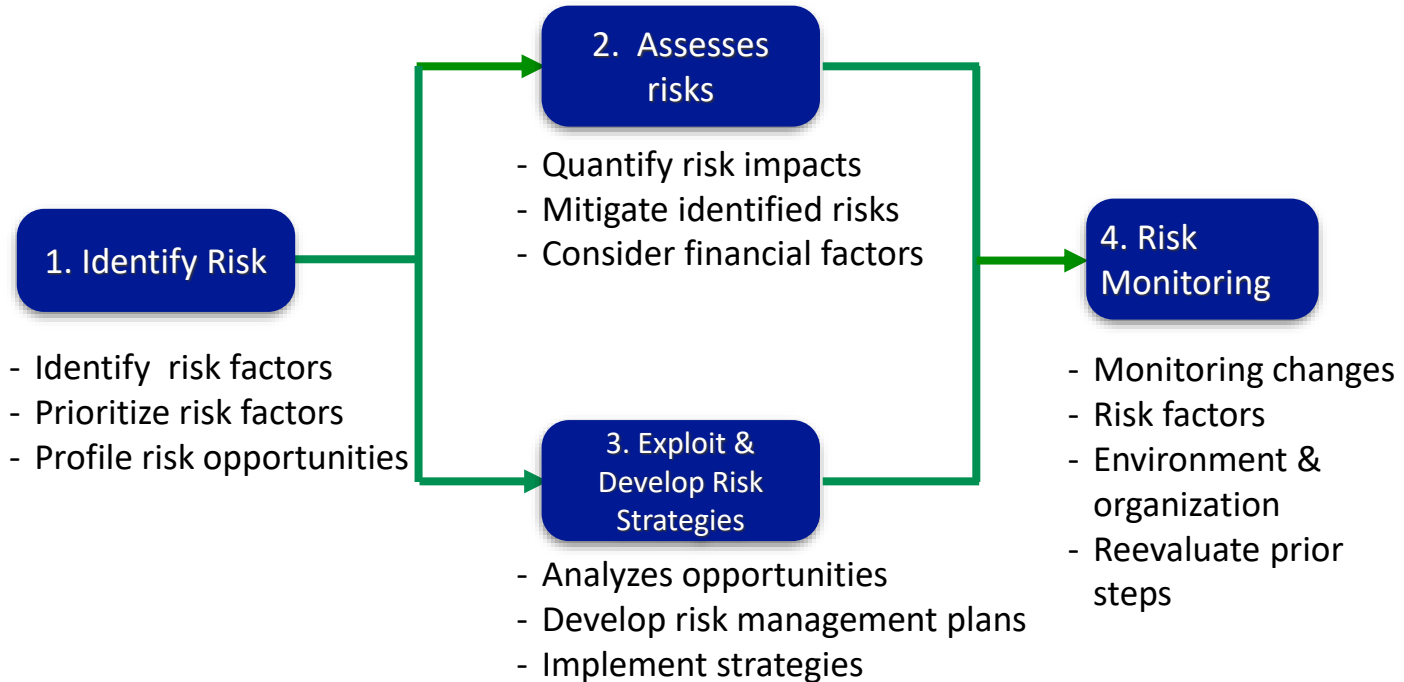


Risk Approach to GRC

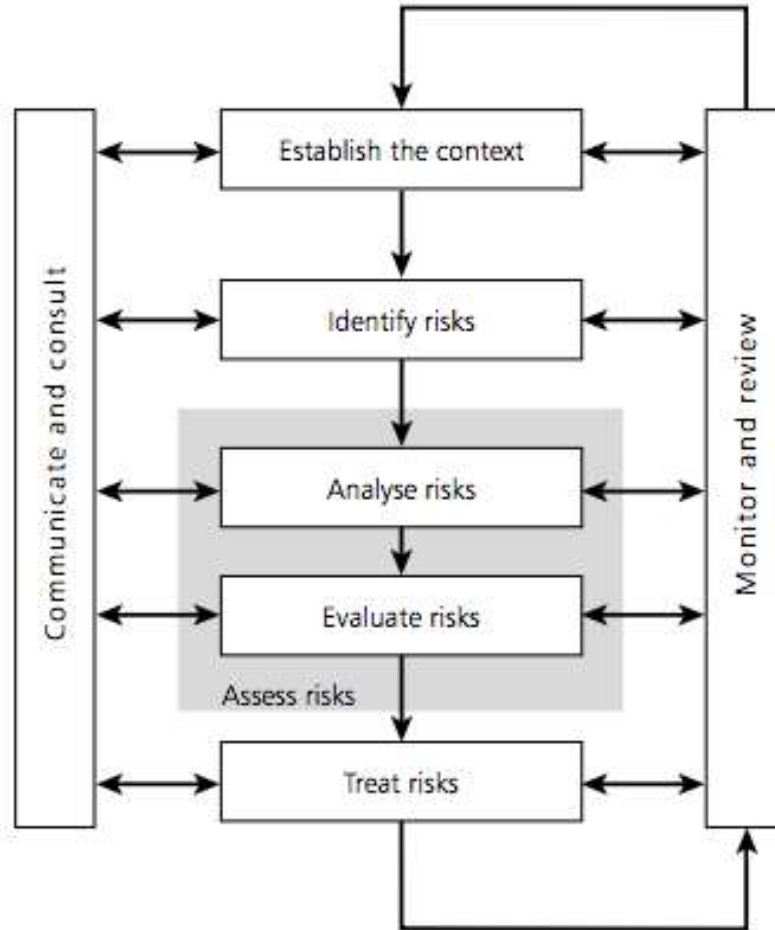
Risk Approach to GRC

- “Risk is a measure of future uncertainties in achieving program performance goals and objectives within defined cost, schedule, and performance constraints.” [1]
- “...an uncertain event or condition that, if it occurs, has a positive or negative effect on a project objective.” [2]
- [1] Risk Management Guide for DoD Acquisition, Sixth Edition DoD, DAU, August 2006
- [2] Project Management Institute PMBOK®, 2008, Fourth Edition

GRC - Risk Management Process



GRC - Risk Management Process (other model from AS/ANZ 4360-1999)



A GRC approach focuses on maintaining the right **balance** between risk and reward. An effective risk management program **focuses** simultaneously on value protection and value creation. Deloitte refer to an organisation that has attained an advanced state of risk management capability as a “Risk Intelligent Enterprise™,”

Defining Risk Appetite Risk – Named as Intelligent Enterprise™ (Deloitte)

Deloitte's Nine Principles for building a Risk Intelligent Enterprise, 2013

Common Definition of Risk

Common Risk Framework

Roles & Responsibilities

Transparency for Governing Bodies

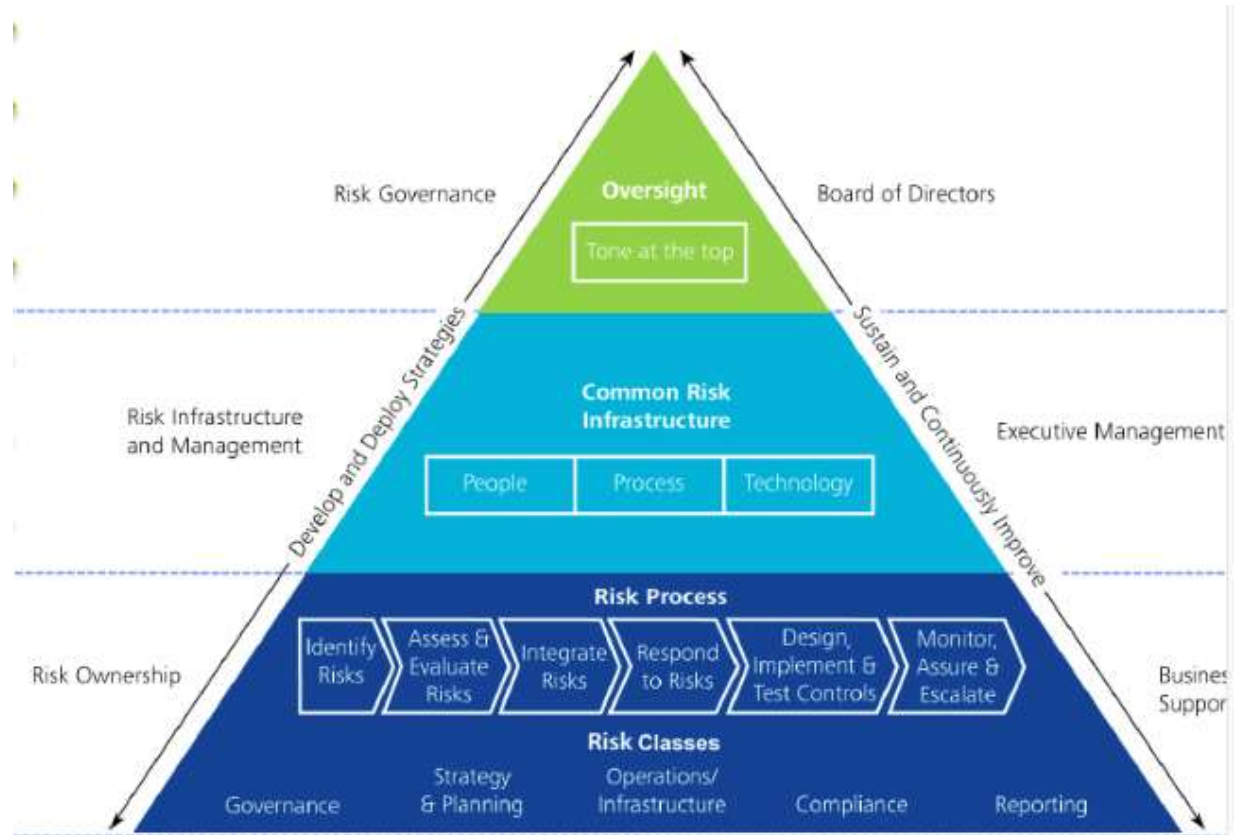
Common Risk Infrastructure

Executive Management Responsibility

Objective Assurance and Monitoring

Business Unit Responsibility

Support of Pervasive Functions



Compliance with risk critical component

SCOPE OF COMPLIANCE

AREA FOR CONSIDERATION

STRATEGY

- As an organizations develop strategy, it must determine which regulations are **relevant**
- **Compliance** sustainability needs to be an integral part of any compliance strategy

Organizations

The organization structure must be established to meet **the specific requirement** (or intent) of each regulation e.g. Sarbanes-Oxley recommends the Chief Executive Officer and President **be two different people**.

Process

- Key process must **be documented and practiced**
- Audit or reviews must take place to ensure documented processes **are effectively being used** to address compliance /regulation requirements

Application and Data

Application **must designed, implemented and continuously tested** to support the requirement of each regulation
Data must be **properly protected and handled according** to each regulation

Facilities

- Facilities must **be designed and available to** meet the needs of each regulation i.e. some regulations may require records to be readily available at an off-site locations

Next Generation GRC Let's see again

